


**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<input type="checkbox"/> Return document by mail to: <hr/> Name <hr/> Address <hr/> City State Zip Code <input type="checkbox"/> Return document by email to: _____	Annual Benefit Report Benefit Limited Liability Company DSCB:15-8898 (2/2017)  8898
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Read all instructions prior to completing.

Fee: \$70

In compliance with the requirements of 15 Pa.C.S. § 8898 (relating to annual benefit report), the undersigned benefit company hereby states that:

1. The name of the limited liability company is:

2. Complete part (a) or (b) – not both:

(a) The address of this limited liability company’s current registered office in this Commonwealth is

Number and Street City State Zip County

(b) The name of this limited liability company’s commercial registered office provider and the county of venue is:

c/o: _____
Name of Commercial Registered Office Provider County

3. A narrative description of:

(i) the ways in which the benefit company pursued general public benefit during the year and the extent to which general public benefit was created;

(ii) the ways in which the benefit company pursued any specific public benefit that the certificate of organization states is the purpose of the benefit company to create and the extent to which that specific public benefit was created;

(iii) any circumstances that have hindered the creation by the benefit company of general or specific public benefit; and

(iv) the process and rationale for selecting or changing the third-party standard used to prepare the benefit report.

4. An assessment of the overall social and environmental performance of the benefit company against a third-party standard applied consistently with any application of that standard in prior benefit reports or accompanied by an explanation of the reasons for any inconsistent application.
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5. A statement of any connection between the organization that established the third-party standard, or its directors, officers or any holder of 5% or more of the governance interests in the organization, and the benefit company or its members, managers or officers or any holder of 5% or more of the outstanding interests in the benefit company, including any financial or governance relationship which might materially affect the credibility of the use of the third-party standard.
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IN TESTIMONY WHEREOF, the undersigned benefit limited liability company has caused this Annual Benefit Report to be signed by a duly authorized officer this _____ day of _____, 20_____.

Name of Company

Signature

Title

Pennsylvania Department of State
Bureau of Corporations and Charitable Organizations
P.O. Box 8722
Harrisburg, PA 17105-8722
(717) 787-1057
Website: www.dos.pa.gov/corps

General Information

Typewritten is preferred. If handwritten, the form must be legible and completed in black or blue-black ink in order to permit reproduction. The nonrefundable filing fee for this form is \$70.

Checks should be made payable to the Department of State. Checks must contain a commercially pre-printed name and address.

This form and all accompanying documents, including any necessary governmental approvals, shall be mailed to the address stated above.

Who should file this form?

Every domestic limited liability which is a benefit company must deliver to each member an annual benefit report. Concurrently with the delivery of the benefit report to members, the benefit company must deliver a copy of the benefit report to the Department of State for filing

Applicable Law

For annual benefit report requirements, see 15 Pa.C.S. § 8898. Statutes are available on the Pennsylvania General Assembly website, www.legis.state.pa.us, by following the link for Statutes.

Definitions

A **benefit company** is a limited liability company that has elected to become subject to Chapter 88, Subchapter I of the Pennsylvania Uniform Limited Liability Company Act of 2016. A benefit company shall have a purpose of creating general public benefit. This purpose is in addition to its purpose under 15 Pa.C.S. § 8818(b) (relating to characteristics of limited liability company). The certificate of organization of a benefit company may identify one or more specific public benefits that it is the purpose of the benefit company to create in addition to its general public benefit purpose under 15 Pa.C.S. § 8894(a) and its purpose under 15 Pa.C.S. § 8818(b).

Form Instructions

Enter the name and mailing address to which any correspondence regarding this filing should be sent. This field must be completed for the Bureau to return the filing. If the filing is to be returned by email, an email address must be provided. An email will be sent to address provided, containing a link and instructions on how a copy of the filed document or correspondence may be downloaded. Any email or mailing addresses provided on this form will become part of the filed document and therefore public record.

1. Give the exact name of the limited liability company. The name on this line must match exactly the association name as shown in Department's records at the time the Annual Benefit Report is submitted for filing. **This field is required.**

2. Current address. The address provided must be the company's registered office address (a) or Commercial Registered Office Provider (b) as on file with the Department of State at the time the Annual Benefit Report is submitted for filing. **This field is required.**

3 - 5. See 15 Pa.C.S. § 8898 for more information. Additional pages may be attached as needed.

A benefit company may change from year to year the standard it uses for assessing its performance. But if a benefit company uses the same standard for assessing its performance in more than one year, the standard must either be applied consistently or the benefit company must provide an explanation of the reasons for any inconsistent use of the standard.

Fields 3-5 are required with the exception that any financial or proprietary information may be omitted from the benefit report as filed with the Department of State.

Signature and Verification

An authorized representative of the benefit company must sign the Annual Benefit Report. Signing a document delivered to the Department for filing is an affirmation under the penalties provided in 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities) that the facts stated in the document are true in all material respects. **This field is required.**



Somos Cinco LLC dba Swiss American Wealth Advisors 2020 Annual Benefit Report

15-3331 Benefit Corp Annual Report Statements

Statement 1

We organized as a Pennsylvania Benefit Company LLC on April 20th, 2020 and started operations on July 1st, 2020.

During the year 2020 we developed firm internal policies in the areas of governance, community, environment and customer impact to guide our business practices and to help us measure our progress towards meeting our general public benefit goals. Our general public benefit goals focus on governance transparency, community involvement, environmental footprint, and employee and customer best interest.

Statement 2

Our specific public benefit purposes are twofold: supporting and sponsoring initiatives that provide access to quality education and nutrition to underprivileged children; and to provide free financial educational resources to US expatriate and immigrant families.

In 2020 we identified, for financial support, two Philadelphia area 501(c)3 charitable organizations that provide educational and nutritional services to children: A Breeze of Hope Foundation, EIN: 27-1734337 and Hope Partnership for Education, EIN: 46-0493274.

In 2020 we financially supported and volunteered time the two educational initiatives The Crossborder Planner and The Crossborder Academy. The Crossborder Academy conducted three webinars to educate Americans living overseas about how to access relief under the CARES Act, in particular, Economic Impact Payments. The Crossborder Planner distributed 39 newsletters with tax education information relevant to US immigrant and expat families.

Statement 3

We expect to have greater opportunity to contribute to the general public benefit and to our specific public benefits in 2021, when we will be in operations for the entire year. In 2020 our focus was on setting up the right foundation for our impact goals.

Statement 4

We selected the third-party standards developed by the Standard Advisory Council, a group of independent experts in business and academia, for the B Impact Assessment tool. We selected the standards that apply for a business with no employees in the Service Sector, Investment Advising industry within the Financial & Insurance Activities Category.

The B Impact Assessment is a management tool used by 50,000 businesses worldwide to help them assess their impact on various stakeholders including their workers, community, customers and the environment.



Swiss American Wealth Advisors 2020

Third Party Standard Assessment

Impact Area Scores

The questions in the B Impact Assessment are organized into five Impact Areas: Governance, Workers, Community, Environment, and Customers. Topics in blue are Impact Business Models associated with that Impact Area where the company has earned additional credit. Due to rounding, displayed totals may not add up exactly.

The B Impact Assessment is customized to a company's size, sector, and geographic market.

Overall B Impact Score

“Swiss American Wealth Advisors is not applying for B Corp certification. It is using the third party standards developed by the B Impact Assessment to evaluate applicants for B Corp certification. Our goal is to exceed B Corp base standards and to improve our score every year. We used the B Impact standards for financial services companies with no full time employees.

In our first year, we exceed the base score of 80 by 2.1 points.”

Swiss American Wealth Advisors

For Fiscal Year End Date: December 31, 2020

The scores below are being compared against 408 Ordinary Businesses that also have 0 employees

To certify as a B Corp, a company must achieve a minimum verified score of 80 points on the B Impact Assessment. The B Impact Assessment asks questions about how the day-to-day operations of a company create a positive impact for the company’s workers, community, and environment. Additionally, companies can earn further points if their overall business model can be shown to create a positive social and environmental impact as well, qualifying the company for specific Impact Business Model points.



82.1

Governance

“The Governance Impact Area evaluates a company’s overall mission, ethics, accountability and transparency through topics such as integration of social and environmental goals in employees’ performance evaluation, impact reporting and transparency, stakeholder engagement, and more.”



Governance

19.7

- Mission & Engagement
- Ethics & Transparency
- Mission Locked

4.1

5.6

10.0

Community

“The Community Impact Area evaluates a company’s contribution to the economic and social well-being of the communities in which it operates, through topics such as diversity and inclusion, job creation, civic engagement and philanthropy, supply chain management, and more.”



Community

21.9

- Diversity, Equity, & Inclusion
- Civic Engagement & Giving
- Supply Chain Management

9.4

4.9

7.6

Environment

“The Environmental impact Area evaluates a company’s overall environmental stewardship, including how the company manages general environmental impacts as well as specific topics like climate, water use and sustainability, and impacts on land and life.

As a virtual service sector business, Swiss American Wealth Advisor's environmental impact is not significant.”



Environment

3.9

- Environmental Management

2

- Air & Climate

0.5

- Water

0.2

- Land & Life

1.2

Customers

“The Customers Impact Area evaluates the value that a company creates for your direct customers and the consumers of your products or services through topics such as ethical and positive marketing, warranty and quality assurance of products and services, data privacy, data security, and more.”



Customers

36.6

- Customer Stewardship
- Targeted for Investment
- Investment Criteria

4.5

5.8

26.3